

ON THE UNIQUENESS OF THE PRYM MAP

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Abstract

The classical Prym construction associates to a smooth, genus g complex curve X equipped with a nonzero cohomology class $\theta \in H^1(X, \mathbb{Z}/2\mathbb{Z})$, a principally polarized abelian variety (PPAV) $\text{Prym}(X, \theta)$. Denote the moduli space of pairs (X, θ) by $\mathcal{R}_g$, and let $\mathcal{A}_h$ be the moduli space of PPAVs of dimension h . The Prym construction globalizes to a holomorphic map of complex orbifolds $\text{Prym} : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1}$. For $g \geq 4$ and $h \leq g-1$, we show that Prym is the unique nonconstant holomorphic map of complex orbifolds $F : \mathcal{R}_g \rightarrow \mathcal{A}_h$. This solves a conjecture of Farb. A main component in our proof is a classification of homomorphisms $\pi_1^{\text{orb}}(\mathcal{R}_g) \rightarrow \text{Sp}(2h, \mathbb{Z})$ for $h \leq g-1$. This is achieved using arguments from geometric group theory and low-dimensional topology.

1. Introduction

Let X be a compact, connected, smooth, genus g complex curve, and let $\Omega^1(X)$ be the space of holomorphic 1-forms on X . The *Jacobian* of X ,

$$\text{Jac}(X) := \frac{\Omega^1(X)^\vee}{H_1(X, \mathbb{Z})}$$

is a g -dimensional principally polarized abelian variety (PPAV) canonically associated to X .

Let $\mathcal{M}_g$ be the moduli space of complex smooth genus g curves, and let $\mathcal{A}_g$ be the moduli space of PPAVs of dimension g . The Jacobian induces a holomorphic map, the *Torelli map*

$$J : \mathcal{M}_g \rightarrow \mathcal{A}_g, \quad X \mapsto \text{Jac}(X).$$

In a recent paper [8], Farb showed that if $g \geq 3$ and $h \leq g$ then J is the *unique* nonconstant holomorphic map of complex orbifolds $\mathcal{M}_g \rightarrow \mathcal{A}_h$. In particular, extra data needs to be attached to smooth curves of genus g in order to associate, in a way that respects orbifold structures, a PPAV of dimension less than g to each such curve. An example of such a construction has been known to exist since over 100 years [9], as we now explain.

The Prym construction. Prym varieties [9], named as such by Mumford in honor of Friedrich Prym (1841-1915), provide a classical example of a way to obtain PPAVs of dimension $g-1$ from smooth curves of genus g . Any nonzero $\theta \in H^1(X, \mathbb{Z}/2\mathbb{Z})$ defines an unbranched double cover

$$p : Y \rightarrow X,$$

with deck transform σ , and where Y is a curve of genus $2g - 1$. The map p induces a map $\text{Jac}(p) : \text{Jac}(Y) \rightarrow \text{Jac}(X)$, between the jacobians of both curves.

The *Prym variety* associated to (X, θ) is defined as (for more details and a explicit description see Section 4.3.1)

$$(1) \quad \text{Prym}(X, \theta) := \ker \text{Jac}(p) \in \mathcal{A}_{g-1}.$$

Moduli space of Prym varieties. The Prym construction globalizes as follows. Let

$$\mathcal{R}_g := \{(X, \theta_X) : X \text{ smooth complex curve of genus } g, \\ \text{and } \theta_X \in H^1(X, \mathbb{Z}/2\mathbb{Z})^*\} / \sim$$

be the space of equivalence classes of pairs (X, θ_X) , where $(X_1, \theta_1) \sim (X_2, \theta_2)$ if there exists a biholomorphism $f : X_1 \rightarrow X_2$, with $f^*(\theta_2) = \theta_1$. As we explain in more detail below in this introduction, $\mathcal{R}_g$ is a complex orbifold and the Prym construction globalizes to a map of complex orbifolds

$$\text{Prym} : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1} \quad , \quad (X, \theta_X) \mapsto \text{Prym}(X, \theta_X).$$

Our main result shows that, as conjectured by Farb in [8], Prym is *holomorphically rigid*.

Theorem 1.1 (Rigidity of Prym). *Let $g \geq 4$ and let $h \leq g - 1$. Let $F : \mathcal{R}_g \rightarrow \mathcal{A}_h$ be a nonconstant holomorphic map of complex orbifolds¹. Then $h = g - 1$ and $F = \text{Prym}$.*

The proof of Theorem 1.1 uses in a fundamental way that $g \geq 4$. I do not know if the statement holds true also for $g = 2, 3$.

Two orbifold structures on $\mathcal{R}_g$. There exist two natural orbifold structures on $\mathcal{R}_g$, which give very different results with respect to maps to $\mathcal{A}_h$ (see Theorem 1.2). Here we provide a brief description of the two orbifold structures and refer to Section 2 for the details.

Let S_g be a closed surface of genus g , let $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$, and let $p : S_{2g-1} \rightarrow S_g$ be the associated double cover with deck transform σ . Let $\text{Mod}(S_g)$ be the mapping class group of S_g , and define

$$\text{Mod}(S_g, [\beta]) := \text{Stab}_{\text{Mod}(S_g)}([\beta])$$

as the stabilizer of $[\beta]$, with respect to the action of $\text{Mod}(S_g)$ on $H_1(S_g, \mathbb{Z}/2\mathbb{Z})$. Similarly, define

$$\text{Mod}(S_{2g-1}, \sigma) := C_{\text{Mod}(S_{2g-1})}([\sigma])$$

as the centralizer of $[\sigma]$.

Both $\text{Mod}(S_g, [\beta])$ and $\text{Mod}(S_{2g-1}, \sigma)$ act on Teichmüller space $\text{Teich}(S_g)$, and the two orbifold structures on $\mathcal{R}_g$ come from considering $\text{Mod}(S_g, [\beta])$ or $\text{Mod}(S_{2g-1}, \sigma)$ as the orbifold fundamental group of $\mathcal{R}_g$. If we consider $\pi_1^{\text{orb}}(\mathcal{R}_g) = \text{Mod}(S_{2g-1}, \sigma)$, then *every* point of $\mathcal{R}_g$ is an orbifold point of order at least 2. This phenomenon is akin to both $\text{Sp}(2g, \mathbb{Z})$ and $\text{PSp}(2g, \mathbb{Z})$ acting on Siegel upper half-space $\mathfrak{h}_g$ and giving the same quotient $\mathcal{A}_g$, but different orbifold structures on $\mathcal{A}_g$. Unless otherwise specified, we always consider $\mathcal{A}_g$ with the orbifold structure given by $\text{Sp}(2g, \mathbb{Z})$.

¹See Definition 2.1

The difference between these two orbifold structures on $\mathcal{R}_g$ seems to be elided in the literature, yet as the following theorem shows, the inclusion of the involution σ is fundamental to our results. Let $\hat{\mathcal{R}}_g$ denote the orbifold structure on $\mathcal{R}_g$ with $\pi_1^{\text{orb}}(\hat{\mathcal{R}}_g) = \text{Mod}(S_g, [\beta])$.

Theorem 1.2. *Fix $g \geq 4$ and $h \leq g - 1$. Then, any holomorphic map $F : \hat{\mathcal{R}}_g \rightarrow \mathcal{A}_h$ of complex orbifolds is constant.*

Remark 1.1. If one considers only effective group actions in the definition of orbifolds (Definition 2.1), then Theorem 1.2 is not correct. The action of $\text{Mod}(S_{2g-1}, \sigma)$ on $\text{Teich}(S_g)$ factors through $\text{Mod}(S_g, [\beta])$, and similarly the action of $\text{Sp}(2h, \mathbb{Z})$ on $\mathfrak{h}_h$ factors through $\text{PSp}(2h, \mathbb{Z})$. Hence, for effective actions there is no obstruction at the level of homomorphisms $\text{Mod}(S_g, [\beta]) \rightarrow \text{PSp}(2h, \mathbb{Z})$, and the Prym construction globalizes to a holomorphic map of complex orbifolds. I do not know if the analogous statement to Theorem 1.1 holds in this setting but it will entail answering the following.

Question 1.1. *Fix g and $h \leq g - 1$. Classify homomorphisms*

$$\phi : \text{Mod}(S_g, [\beta]) \rightarrow \text{PSp}(2h, \mathbb{Z}).$$

More generally, classify homomorphisms $\phi : \text{Mod}(S_g, [\beta]) \rightarrow \text{PGL}(m, \mathbb{C})$ for any m .

Prym representation. In the same way as the standard symplectic representation of $\text{Mod}(S_g)$ is associated to the Torelli map, the Prym map has an associated representation

$$\text{Prym}_* : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Sp}(2g - 2, \mathbb{Z}).$$

The first step in the proof of Theorem 1.1 is the following purely group theoretic result. It shows that Prym_* exhibits a similar level of rigidity as that of the standard symplectic representation for $\text{Mod}(S_g)$.

Theorem 1.3 (Rigidity of Prym_*). *Let $g \geq 4$ and $m \leq 2(g - 1)$. Let $\phi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{GL}(m, \mathbb{C})$. The following holds,*

- 1) *If $m < 2(g - 1)$ then $\text{Im}(\phi)$ is cyclic of order at most 4.*
- 2) *Let $\chi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \mathbb{C}^*$ be a group homomorphism. If $m = 2g - 2$ then $\text{Im}(\phi)$ is either cyclic of order at most 4 or ϕ is conjugate to the map:*

$$f \rightarrow \chi(f) \text{Prym}_*(f),$$

$$\text{where } \chi(f)^4 = 1.$$

Note that, unlike the case of $\text{Mod}(S_g)$ (as shown in [11, 15]), the group $\text{Mod}(S_{2g-1}, \sigma)$ has a *nontrivial* (infinite-image) linear representation of dimension less than $2g$, and the same is true for $\text{Mod}(S_g, [\beta])$ when g is even (see Theorem 3.1).

Since $\mathcal{A}_h$ for $h \geq 1$ is a $K(\pi, 1)$ in the category of orbifolds, Theorem 1.3 implies the following (see also Corollary 3.8).

Corollary 1.4. *Fix $g \geq 4$ and $h \leq g - 1$. Let $F : \mathcal{R}_g \rightarrow \mathcal{A}_h$ be a continuous map of orbifolds. If $h = g - 1$, then F is homotopic to Prym . Otherwise, there exists a cyclic cover $\tilde{\mathcal{R}}_g$ of $\mathcal{R}_g$ of order at most 4, so that the induced map $\tilde{F} : \tilde{\mathcal{R}}_g \rightarrow \mathcal{A}_h$ is homotopic to a constant map.*

Strategy of proof of Theorems 1.1 and 1.2. Our proof follows the general strategy laid out by Farb in [8], see Section 4.1. The two main aspects of the proof are the topological and holomorphic sides of the story.

- 1) In Section 3, we classify low-dimensional linear and symplectic representations of $\text{Mod}(S_g, [\beta])$ and $\text{Mod}(S_{2g-1}, \sigma)$. Our approach is based on (and extends) the results of Franks-Handel, and Korkmaz [11, 15], which classify linear representations for the full mapping class group $\text{Mod}(S_g)$. A key ingredient in our proof is to prove connectedness of the complex of curves $\mathcal{N}_1(S_g)$ (see Section 3.2). This covers the first step in Farb's proof.
- 2) In Section 4, we add the assumption of holomorphicity for the map $F : \mathcal{R}_g \rightarrow \mathcal{A}_h$ to deduce Theorem 1.2 and reduce the proof of Theorem 1.1 to the case of $h = g - 1$ and F homotopic to Prym. In order to avoid orbifold issues when dealing with the $h = g - 1$ case, we will pass to a suitable (smooth) cover $\mathcal{R}_g[\psi]$ of $\mathcal{R}_g$. Steps 2-4 in Farb's proof [8, Section 1] for the rigidity of the Torelli map $J : \mathcal{M}_g \rightarrow \mathcal{A}_g$, extend to our case without modifications. Step 5, the existence of $\mathcal{A}_{g-1}$ -rigid curves, requires some minor modifications. They arise due to our use of finite non-Galois covers of $\overline{\mathcal{M}}_g$. An alternative approach using variations of hodge structures is also given.

Speculation. One would be tempted to conjecture that the only maps $\mathcal{R}_g \rightarrow \mathcal{A}_g$ are given by $\mathcal{R}_g \xrightarrow{\text{Prym}} \mathcal{A}_{g-1} \rightarrow \mathcal{A}_g$ and $\mathcal{R}_g \rightarrow \mathcal{M}_g \xrightarrow{J} \mathcal{A}_g$. For our proof strategy to work, one would first need to classify homomorphisms

$$\text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Sp}(2g, \mathbb{Z}).$$

A step in this direction is given by the recent work of Kasahara [13, Cor 1.3], which shows that there are no irreducible representations $\text{Mod}(S_g) \rightarrow \text{GL}_{2g+1}(\mathbb{C})$ for g big enough.

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2. Orbifold structures on $\mathcal{R}_g$

In this section we show how to give $\mathcal{R}_g$ the structure of a complex orbifold. First, let us briefly recall the definition of orbifold and maps between orbifolds [8, Remark 2.1].

Definition 2.1 (Orbifolds and maps between orbifolds). Let X be a simply connected manifold (resp. complex manifold) and let Γ be a group acting properly discontinuously on X by homeomorphisms (resp. biholomorphisms), but not necessarily freely nor effectively. Then the quotient X/Γ is

a topological (resp. complex) *orbifold*. Define $\pi_1^{\text{orb}}(X/\Gamma) := \Gamma$ as the *orbifold fundamental group* of X/Γ . Let Y/Λ be another orbifold, and $\rho : \Gamma \rightarrow \Lambda$ a group homomorphism. A continuous (resp. holomorphic) map in the category of orbifolds $F : X/\Gamma \rightarrow Y/\Lambda$ is a map so that there exists a continuous (resp. holomorphic) lift $\tilde{F} : X \rightarrow Y$ that *intertwines* ρ :

$$\tilde{F}(\gamma.x) = \rho(\gamma).\tilde{F}(x) \quad \text{for all } x \in X, \gamma \in \Gamma.$$

If this is the case we denote ρ by $F_* : \Gamma \rightarrow \Lambda$. Note that postcomposition of F_* with an inner automorphism c_ℓ of Λ changes $\tilde{F} \rightarrow \ell \circ \tilde{F}$, so that F_* is defined up to postcomposition with inner automorphisms of Λ .

Remark 2.1. If Γ acts effectively, our definition agrees with Thurston's definition of *good* orbifold [22, Ch.13], with X being the orbifold universal cover of X/Γ . For noneffective group actions our definition can be more restrictive, but captures the nature of examples such as the Torelli map and the Prym map.

Let S_g be a closed surface of genus g . The mapping class group $\text{Mod}(S_g)$ is defined as

$$\text{Mod}(S_g) := \pi_0(\text{Diff}^+(S_g)).$$

Let $\text{Teich}(S_g)$ denote the *Teichmüller* space of S_g , the space of holomorphic structures on S_g up to isotopy. $\text{Mod}(S_g)$ acts on $\text{Teich}(S_g)$ properly discontinuously, but not freely, by biholomorphisms. Let $[\beta] \neq 0 \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})$ and define

$$\text{Mod}(S_g, [\beta]) := \text{Stab}_{\text{Mod}(S_g)}([\beta]),$$

as the stabilizer of $[\beta]$ in $\text{Mod}(S_g)$. Then define

$$\hat{\mathcal{R}}_g := \text{Teich}(S_g) / \text{Mod}(S_g, [\beta]).$$

In particular, $\hat{\mathcal{R}}_g$ has the structure of a complex orbifold with $\pi_1^{\text{orb}}(\hat{\mathcal{R}}_g) = \text{Mod}(S_g, [\beta])$. Furthermore, $\hat{\mathcal{R}}_g$ is in bijective correspondence with $\mathcal{R}_g$ and thus endows $\mathcal{R}_g$ with an orbifold structure. The forgetful map

$$\hat{\mathcal{R}}_g \rightarrow \mathcal{M}_g,$$

is a finite orbifold cover of $\mathcal{M}_g$ of degree $2^{2g} - 1$, each fiber over a generic $X \in \mathcal{M}_g$ corresponding to $H_1(X, \mathbb{Z}/2\mathbb{Z})^*$.

One of the goals of this paper is to classify all holomorphic maps of complex orbifolds $\hat{\mathcal{R}}_g \rightarrow \mathcal{A}_h$ for $h \leq g - 1$. Define the map,

$$\widehat{\text{Prym}} : \hat{\mathcal{R}}_g \rightarrow \mathcal{A}_{g-1} \quad , \quad (X, \theta) \rightarrow \text{Prym}(X, \theta).$$

Theorem 1.2 shows that $\widehat{\text{Prym}}$ *cannot* be a map in the category of complex orbifolds.

Obstruction. The obstruction to realize $\widehat{\text{Prym}}$ as map of orbifolds is the *nonexistence* of nonfinite representations $\phi : \text{Mod}(S_g, [\beta]) \rightarrow \text{Sp}(2g - 2, \mathbb{Z})$. As we explain in more detail in Section 3, the Prym construction defines a representation:

$$\widehat{\text{Prym}}_* : \text{Mod}(S_g, [\beta]) \rightarrow \text{PSp}(2g - 2, \mathbb{Z}),$$

which does not lift to a symplectic representation. Thus, there is an associated nonsplit central $\mathbb{Z}/2\mathbb{Z}$ extension:

$$1 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow H \rightarrow \text{Mod}(S_g, [\beta]) \rightarrow 1$$

By definition, there is a representation $H \rightarrow \text{Sp}(2g-2, \mathbb{Z})$ and H acts on $\text{Teich}(S_g)$ via $\text{Mod}(S_g, [\beta])$ so that every point is an orbifold point of order at least 2. Thus, $\mathcal{R}_g$ can be endowed with an orbifold structure for which the Prym construction does define a holomorphic map Prym in the category of complex orbifolds. In fact, there is a concrete description of H and this alternative orbifold structure, as we now explain.

Moduli space of double covers. Let Y be a complex smooth genus $2g-1$ curve, and $\sigma_Y : Y \rightarrow Y$ a fixed-point free biholomorphic involution. Say that two such pairs (Y_1, σ_{Y_1}) and (Y_2, σ_2) are equivalent if there is a biholomorphism $f : Y_1 \rightarrow Y_2$ such that $f^{-1}\sigma_2 f = \sigma_1$. Then, there is a bijection

$$\phi : \{[(Y, \sigma_Y)]\} \rightarrow \mathcal{R}_g \quad , \quad [(Y, \sigma_Y)] \rightarrow [(Y/\sigma_Y, \theta_Y)]$$

where θ_Y is given by the monodromy of the covering $p : Y \rightarrow Y/\sigma_Y$.

Let σ be a fixed-point free involution on the closed surface S_{2g-1} , and let $[\sigma]$ be its class in $\text{Mod}(S_{2g-1})$. Let $\text{Fix}([\sigma]) := \text{Teich}(S_{2g-1})^{[\sigma]}$ and define

$$\text{Mod}(S_{2g-1}, \sigma) := C_{\text{Mod}(S_{2g-1})}([\sigma]).$$

Then, there is an exact sequence

$$1 \rightarrow \langle \sigma \rangle \rightarrow \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Mod}(S_g, [\beta]) \rightarrow 1,$$

and, via the bijection ϕ ,

$$\mathcal{R}_g = \text{Fix}([\sigma]) / \text{Mod}(S_{2g-1}, \sigma)$$

so that $\pi_1^{\text{orb}}(\mathcal{R}_g) = \text{Mod}(S_{2g-1}, \sigma)$.

Furthermore, ϕ induces a 2 : 1 map of complex orbifolds (but a biholomorphism in the complex category)

$$\begin{array}{ccc} \text{Fix}([\sigma]) & \xrightarrow{\tilde{\phi}} & \text{Teich}(S_g) \\ \downarrow & & \downarrow \\ \mathcal{R}_g & \xrightarrow{\phi} & \hat{\mathcal{R}}_g \\ \downarrow \mathcal{F}_1 & & \downarrow \mathcal{F}_2 \\ \mathcal{M}_{2g-1} & & \mathcal{M}_g \end{array}$$

The $\mathcal{F}_i$ are the respective forgetful maps, but only $\mathcal{F}_2$ gives an orbifold covering.

Thus, viewing $\mathcal{R}_g$ as equivalence classes of curves with an involution is precisely the alternative orbifold structure stated at the end of the previous section, and the Prym construction induces a holomorphic map of complex orbifolds,

$$\text{Prym} : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1} \quad , \quad (Y, \sigma_Y) \rightarrow \text{Prym}(Y/\sigma_Y, \theta_Y).$$

The difference between $\mathcal{R}_g$ and $\hat{\mathcal{R}}_g$ is precisely the difference between having covers of $\mathcal{M}_g$ given by G -structures or by G -covers (cf. [2, Ch 16, p 525-526]), in our case $G = \mathbb{Z}/2\mathbb{Z}$.

3. Topological results

Let S_g be a closed surface of genus $g \geq 1$, and $[\beta] \in H_1(S, \mathbb{Z}/2\mathbb{Z})^*$. Then, there is a (unique up to isomorphism) double cover

$$p : S_{2g-1} \rightarrow S_g,$$

with deck transform σ , and monodromy given by intersection with $[\beta]$. By the work of Birman-Hilden [3],

$$\text{Mod}(S_{2g-1}, \sigma) = \pi_0(\text{Diff}^+(S_{2g-1}, \sigma)),$$

which gives an exact sequence,

$$1 \rightarrow \langle \sigma \rangle \rightarrow \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Mod}(S_g, [\beta]) \rightarrow 1.$$

Remark 3.1. Note that $\text{Mod}(S_g)$ acts transitively on $H_1(S_g, \mathbb{Z}/2\mathbb{Z})$, and so any choice of $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$ gives conjugate subgroups $\text{Mod}(S_g, [\beta])$ within $\text{Mod}(S_g)$. The same remark applies to $\text{Mod}(S_{2g-1}, \sigma)$ in $\text{Mod}(S_{2g-1})$, for different choices of σ .

Prym representation. For any $f \in \text{Mod}(S_{2g-1}, \sigma)$, denote by f_* its induced action on $H_1(S_{2g-1}, \mathbb{Z})$. As $f\sigma = \sigma f$, f_* preserves the eigenspaces of σ_* . In particular, f_* preserves $H_1(S_{2g-1}, \mathbb{Z})^-$, which consists of σ -anti-invariant elements.

Let $\hat{i}_- := \frac{1}{2}\hat{i}$, for $\hat{i}$ the restriction of the intersection pairing on $H_1(S_{2g-1}, \mathbb{Z})$ to $H_1(S_{2g-1}, \mathbb{Z})^-$. Then f_* will further preserve $\hat{i}_-$; thus by choosing a symplectic basis we obtain a representation

$$\text{Prym}_* : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Sp}(2g-2, \mathbb{Z}),$$

called the *Prym representation* of $\text{Mod}(S_{2g-1}, \sigma)$.

Let $f \in \text{Mod}(S_g, [\beta])$, then there is a lift $\tilde{f} \in \text{Mod}(S_{2g-1}, \sigma)$, well-defined up to composition with σ . As σ_* acts as -1 on $H_1(S_{2g-1}, \mathbb{Z})^-$, the Prym representation induces a *projective Prym representation*,

$$\widehat{\text{Prym}_*} : \text{Mod}(S_g, [\beta]) \rightarrow \text{PSP}(2g-2, \mathbb{Z}).$$

In this section we build on the results of Franks-Handel and Korkmaz[11, 15], to classify low-dimensional linear and symplectic representations of $\text{Mod}(S_g, [\beta])$ and $\text{Mod}(S_{2g-1}, \sigma)$.

Remark 3.2. The existence of $\chi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \mathbb{C}^*$ in Theorem 1.3 is possible due to the fact that

$$\text{Mod}(S_{2g-1}, \sigma)^{\text{Ab}} \cong \mathbb{Z}/4\mathbb{Z}.$$

Similarly,

$$\text{Mod}(S_g, [\beta])^{\text{Ab}} \cong \mathbb{Z}/d\mathbb{Z}$$

where $d = 2$ for g even and 4 otherwise (see Sato [20, Theorem 1.2], or the appendix for an alternative proof of the even case).

A similar rigidity result as of Theorem 1.3 holds for $\text{Mod}(S_g, [\beta])$,

Theorem 3.1. *Let $g \geq 4$ and $m \leq 2g - 2$. Let $\phi : \text{Mod}(S_g, [\beta]) \rightarrow \text{GL}(m, \mathbb{C})$. Then the following holds,*

- 1) If either,
 - a) $m < 2g - 2$ or,
 - b) $m = 2g - 2$ and g odd or,
 - c) $m = 2g - 2$, g even and $\text{Im}(\phi) \subset \text{SL}(m, \mathbb{C})$.
 Then, $\text{Im}(\phi)$ is abelian, so it is a quotient of $\mathbb{Z}/4\mathbb{Z}$.
- 2) Otherwise, ϕ is induced from a representation $\tilde{\phi} : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{GL}(m, \mathbb{C})$ such that $\tilde{\phi}(\sigma) = 1$. In particular, $\phi(T_a^2) = \pm i \text{Id}$, for $\hat{i}_2([a], [\beta]) = 1$.

In particular, this shows that $\widehat{\text{Prym}}_*$ does *not* lift to a linear representation. In fact, let

$$1 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow H \rightarrow \text{Mod}(S_g, [\beta]) \rightarrow 1$$

be the central extension determined by $\widehat{\text{Prym}}_* : \text{Mod}(S_g, [\beta]) \rightarrow \text{PSp}(2g-2, \mathbb{Z})$. Then

$$\text{Mod}(S_{2g-1}, \sigma) \cong H,$$

where the isomorphism is given by $\tilde{f} \rightarrow (f, \tilde{f}_*)$. Thus,

Corollary 3.2. *The sequence*

$$1 \rightarrow \langle \sigma \rangle \rightarrow \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Mod}(S_g, [\beta]) \rightarrow 1,$$

does not split.

Proof outline for Theorems 1.3 and 3.1. Here we briefly sketch the main ideas used in the proofs of Theorems 1.3 and 3.1, the details will be given in the subsequent sections. First observe that any $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$ can be represented by a nonseparating simple closed curve b . Then, there exists a subsurface (with boundary) $R \subset S_g$ of genus $g - 1$ so that

$$\text{Mod}(R) \subset \text{Mod}(S_g, [\beta]).$$

Results of Franks-Handel and Korkmaz applied to $\text{Mod}(R)$, then give constraints on the restriction of ϕ to $\text{Mod}(R)$.

Moreover, as any element in $\text{Mod}(R)$ fixes a point of S_g , $\text{Mod}(R)$ lifts to $\widehat{\text{Mod}}(R) \subset \text{Mod}(S_{2g-1}, \sigma)$ and one can check that $\text{Prym}|_{\widehat{\text{Mod}}(R)}$ is precisely the symplectic representation of $\text{Mod}(R)$.

In order to extend our knowledge of ϕ to the whole of $\text{Mod}(S_g, [\beta])$, we find good generating sets for $\text{Mod}(S_g, [\beta])$. This is accomplished in two ways:

- 1) A key property of $\text{Mod}(S_g)$ is the fact that all nonseparating Dehn twists T_a are conjugate to each other. This is no longer true in $\text{Mod}(S_g, [\beta])$ and the results in Section 3.1 give a classification of (powers of) such Dehn twists in $\text{Mod}(S_g, [\beta])$ up to conjugation. As a corollary, there exists a normal generating set for $\text{Mod}(S_g, [\beta])$ composed of only three types of Dehn Twists.
- 2) Section 3.2 describes properties of the action of $\text{Mod}(S_g, [\beta])$ on a modified complex of curves $\mathcal{N}(S_g)$. We show that $\mathcal{N}(S_g)$ is connected and $\text{Mod}(S_g, [\beta])$ acts transitively on the edges and vertices of $\mathcal{N}(S_g)$. Thus, via a geometric group theory argument, there exists an additional generating set for $\text{Mod}(S_g, [\beta])$.

By using these two distinct generating sets, we are then able to constrain all low-dimensional representations of $\text{Mod}(S_g, [\beta])$ (except for the last item of Theorem 3.1). In Section 3.4, we lift the results from Sections 3.1 and 3.2 to $\text{Mod}(S_{2g-1}, \sigma)$ and are able to conclude all but the second item of Theorem 1.3. The final ingredient in the proof is an explicit (finite) generating set for $\text{Mod}(S_g, [\beta])$, found by Dey-Dhanwani-Patil-Rajeevsarathy in [5], on which one can check that ϕ has the desired form.

3.1. Conjugation in $\text{Mod}(S_g, [\beta])$. Let $\hat{i}_2$ be the algebraic intersection pairing mod 2 on $H_1(S_g, \mathbb{Z}/2\mathbb{Z})$. In what follows all homology classes are mod 2. Let $\mathcal{S}(S_g)$ denote the set of isotopy classes of nonseparating simple closed curves (SCCs, from now on) in S_g . The action of $\text{Mod}(S_g, [\beta])$ splits $\mathcal{S}(S_g)$ into three orbits, classified as follows (See also [6, Lemma 2.3.1] for the analogous statement for homology classes).

Lemma 3.1. *Let α_1, α_2 be a pair of nonseparating SCCs in S_g . The following are necessary and sufficient conditions for there to be a $\phi \in \text{Homeo}^+(S_g)$ such that $\phi(\alpha_1) = \alpha_2$ and $\phi_*([\beta]) = [\beta]$.*

- 1) $\hat{i}_2([a_1], [\beta]) = \hat{i}_2([a_2], [\beta]) = 1$.
- 2) $\hat{i}_2([a_1], [\beta]) = \hat{i}_2([a_2], [\beta]) = 0$, and either both $[a_i] \neq [\beta]$ or both $[a_i] = [\beta]$.

Proof. Let α be a nonseparating simple closed curve in S_g . Observe that if $\hat{i}_2([\alpha], [\beta]) = c$, there exists² a simple closed curve b representing $[\beta]$ and intersecting α transversely c times. Let α_1 and α_2 be two simple closed curves in S_g with

$$\hat{i}_2([\alpha_1], [\beta]) = \hat{i}_2([\alpha_2], [\beta]) = 1.$$

By the previous observation, there exist two 2-chains (α_i, b_i) with $[b_i] = [\beta]$. Thus, by the change of coordinates principle [7, Ch 1, Sec 3], there is a $\phi \in \text{Homeo}^+(S_g)$ so that $\phi(\alpha_1) = \alpha_2$ and $\phi(b_1) = b_2$. In particular, $\phi_*([\beta]) = [\beta]$ and the first claim follows.

Now suppose that $\hat{i}_2([\alpha], [\beta]) = 0$. If $[\alpha] = [\beta]$, the statement follows since $\text{Homeo}^+(S_g)$ acts transitively on nonseparating simple closed curves and any ϕ with $\phi(\alpha) = \beta$ fixes $[\beta]$. Suppose that $[\alpha] \neq [\beta]$. Let b be a simple closed curve representing $[\beta]$ and *not intersecting* α . In particular, α is *nonseparating* in $S_g - b$. Let α_1, α_2 be two simple closed curves such that

$$\hat{i}_2([\alpha], [\beta]) = \hat{i}_2([\alpha_2], [\beta]) = 0.$$

Then, there are two b_i representing $[\beta]$ such that $\alpha_i \cap b_i = \emptyset$. Let $\phi \in \text{Homeo}^+(S_g)$ with $\phi(b_1) = b_2$. Then $\phi(\alpha_1)$ is nonseparating in the cut-surface S_{b_2} obtained by cutting along b_2 . Applying the change of coordinates again, there is a $\psi \in \text{Homeo}^+(S_{b_2}, b_2)$ such that $\psi(\phi(\alpha_1)) = \alpha_2$. Composing ϕ with the map $\bar{\psi} \in \text{Homeo}^+(S_g)$, induced by ψ , the claim follows. q.e.d.

Corollary 3.3 (Conjugation in $\text{Mod}(S_g, [\beta])$). *Let a_1, a_2 be a pair of isotopy classes of nonseparating SCCs in S_g . Let T_{a_i} be the Dehn twists along a_i , then:*

²Extend α to a geometric symplectic basis. Locally, there are only three choices for a representative of $[\beta]$ and they can be glued together as needed.

- 1) If $\hat{i}_2([a_1], [\beta]) = \hat{i}_2([a_2], [\beta]) = 1$ then $T_{a_1}^2$ and $T_{a_2}^2$ are conjugate in $\text{Mod}(S_g, [\beta])$.
- 2) If $\hat{i}_2([a_1], [\beta]) = \hat{i}_2([a_2], [\beta]) = 0$ and either for each i $[a_i] \neq [\beta]$ or for each i $[a_i] = [\beta]$, then T_{a_1} and T_{a_2} are conjugate in $\text{Mod}(S_g, [\beta])$.

The importance of Corollary 3.3 lies on the following.

Lemma 3.2 (Generating set-Twists). *Let $g \geq 2$ and $[\beta]$ a nonzero class in $H_1(S_g, \mathbb{Z}/2\mathbb{Z})$. $\text{Mod}(S_g, [\beta])$ is generated by*

$$\{T_c^{\xi(c)} : c \text{ nonseparating SCC in } S_g \text{ and } \xi(c) \in \{1, 2\}, \\ \text{with } \xi(c) = \hat{i}_2([c], [\beta]) + 1 \pmod{2}\}.$$

Proof. Let $\Lambda_g[\beta]$ be the stabilizer of $[\beta]$ in $\text{Sp}(2g, \mathbb{Z}/2\mathbb{Z})$, and consider the following exact sequence, given by reducing the symplectic representation mod 2.

$$1 \longrightarrow \text{Mod}(S_g)[2] \longrightarrow \text{Mod}(S_g, [\beta]) \xrightarrow{\Psi_2} \Lambda_g[\beta] \longrightarrow 1,$$

$\Lambda_g[\beta]$ is generated by transvections of the form $\psi_2(T_{c_i})$ for $\hat{i}_2([c_i], [\beta]) = 0$ (cf. [16, Lemma 3.4]). Similarly $\text{Mod}(S_g)[2]$ is generated by squares of nonseparating Dehn twists [12, Thm 1], thus the claim follows. q.e.d.

3.2. Complex of curves. The generating set given by Lemma 3.2 is enough for providing bounds for the abelianization of $\text{Mod}(S_g, [\beta])$ (see appendix). Yet, in order to establish Theorem 3.1, we need to make use of another generating set. For this purpose, we examine the action of $\text{Mod}(S_g, [\beta])$ on $\mathcal{S}(S_g)$.

As above, fix $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$. Let $\mathcal{S}_1(S_g)$ be the set of isotopy classes a of simple closed curves on S_g such that $\hat{i}_2([a], [\beta]) = 1$, in particular $\mathcal{S}_1(S_g) \subset \mathcal{S}(S_g)$ as any separating curve is trivial in homology. For two isotopy classes a, b of simple closed curves, let

$$i(a, b) = \min\{|\alpha \cap \beta| : \alpha \in a, \beta \in b\}$$

denote their *geometric intersection number* [7, Ch 1.2.3].

Definition 3.1 (Complex of curves). Let $\mathcal{N}_1(S_g)$ be the 1-complex with vertex set $\mathcal{S}_1(S_g)$. An edge (a, c) between $a, c \in \mathcal{S}_1(S_g)$ exists iff $i(a, c) = 1$ and $[a] + [c] \neq [\beta]$.

The most important property of $\mathcal{N}_1(S_g)$ for our purposes, and whose proof will occupy most of this section, is the following.

Lemma 3.3. *For $g \geq 3$, $\mathcal{N}_1(S_g)$ is connected.*

The proof of Lemma 3.3 follows the same idea as when dealing with the standard complex of curves [7, Chapter 4]. We first define two associated 1-complexes, the second of which contains $\mathcal{N}_1(S_g)$. We prove connectivity for each of them and then refine the paths to be in $\mathcal{N}_1(S_g)$.

Define $\mathcal{C}_1(S_g)$ to be the 1-complex with the same vertex set as $\mathcal{N}_1(S_g)$ and edges between vertices a, c if and only if $i(a, c) = 0$, i.e. if a and c admit *disjoint* representatives.

Lemma 3.4. *$\mathcal{C}_1(S_g)$ is connected for $g \geq 2$.*

Proof. Let $a, c \in \mathcal{S}_1(S_g)$. We proceed by induction on $i(a, c)$, the case $i(a, c) = 0$ being clear. For $i(a, c) = 1$, let α and γ be representatives of a, c in minimal position. It follows that α and γ are part of a geometric symplectic basis ν for $H_1(S_g, \mathbb{Z})$. Thus, there exist a multicurve representative of $[\beta]$ intersecting α and γ only once. If $[a] + [c] = [\beta]$, then there is a curve δ with isotopy class d , with the following properties:

- 1) $\alpha \cap \delta = \emptyset$.
- 2) $[d] + [c] \neq [\beta]$.
- 3) $i(c, d) = 1$.

Indeed, δ can be found by applying the change of coordinates principle [7, Ch 1.3]³. Hence it is enough to assume that $[a] + [c] \neq [\beta]$. In this case there is a component of $[\beta]$ intersecting one of the other curves in the basis ν , say γ' , once. The isotopy class of γ' provides the path between a and c in $\mathcal{C}_1(S_g)$.

Now assume $i(a, c) \geq 2$, and let α, γ be as above. As before, there is a representative β of $[\beta]$ intersecting γ only once and intersecting α transversely. Take two consecutive intersection points of γ and α . There are two cases, depending on the orientation at the intersections:

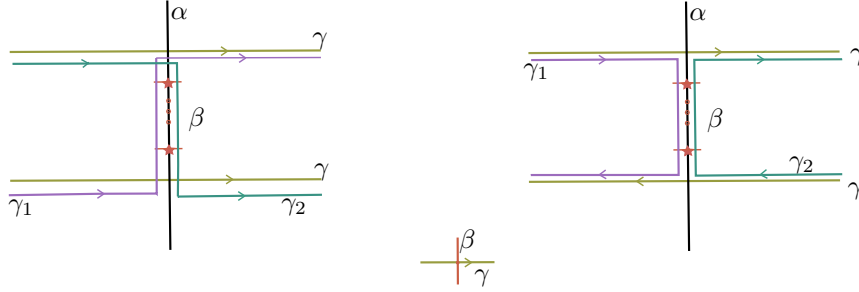


Figure 1. Path surgery.

In either case, let γ_1 and γ_2 be SCCs constructed by the surgery described Figure 1. As these curves travel parallel to γ and the union gives all of γ outside the neighborhood of α depicted above, only one of the curves crosses β along γ , say it is γ_1 . Furthermore, γ_1 and γ_2 have a segment parallel to α , and this segment will meet β in either an even or odd number of points. Depending on the parity, either γ_1 (even case) or γ_2 (odd case) will meet β an odd number of times, and intersect both α and γ in fewer than $i(a, c)$ points. By induction, there is a path between a and c and the claim follows. q.e.d.

Next, define $\mathcal{NC}_1(S_g)$, to be the 1-complex with vertex set $\mathcal{S}_1(S_g)$ and where two classes a, c in $\mathcal{S}_1(S_g)$ are connected by an edge if and only if $i(a, c) = 1$.

Lemma 3.5. *For $g \geq 2$, $\mathcal{NC}_1(S_g)$ is connected.*

³The main idea is that, thanks to the classification of surfaces, up to conjugation with a diffeomorphism, we can change to a *standard* picture, where it is easier to find representatives.

Proof. Let $a, c \in \mathcal{S}_1(S_g)$, by Lemma 3.4, we can assume $i(a, c) = 0$. Thus, it is enough to show that there is a class $d \in \mathcal{S}_1(S_g)$ such that $i(a, d) = i(d, c) = 1$. There exist representatives α and γ of a and c , with $\alpha \cap \gamma = \emptyset$. To find such a curve d , there are two cases to consider. If $\alpha \cup \gamma$ is nonseparating, by the change of coordinates, there is a curve δ intersecting both α and γ once, and intersecting a (multicurve) representative of $[\beta]$ an odd number of times. Indeed, just note that α and γ can be extended to a geometric symplectic basis ν for S_g . Let α' and γ' be the curves intersecting α and γ once respectively. The multicurve representative of $[\beta]$ is given by a union of g curves β_i around each torus neighborhood of a pair $\{\alpha_i, \alpha'_i\}$ of ν with $i(\alpha_i, \alpha'_i) = 1$. Call each such curve β_i a *local representative* for $[\beta]$. Thus local representatives of $[\beta]$ around $\{\alpha, \alpha'\}$ and $\{\gamma, \gamma'\}$ are given by $T_\alpha^k(\alpha')$ and $T_\gamma^j(\gamma')$, where $k, j \in \{0, 1\}$ depend on $[\beta]$ intersecting α' or γ' . Define d by connecting $T_\alpha^{k'}(\alpha')$ and $T_\gamma^{j'}(\gamma')$, where $k' \in \{0, 1\}$ satisfy $k' = k + 1 \pmod{2}$.

If $\alpha \cup \gamma$ is separating, then $\{a, c\}$ is a bounding pair, i.e. they bound a pair of subsurfaces of genus g_1, g_2 each with two boundary components. Applying the change of coordinates principle, there is a d with $i(a, d) = 1 = i(d, c)$ and $d \in \mathcal{S}_1(S_g)$. q.e.d.

Proof of Lemma 3.3. The goal is to modify the path given by Lemma 3.5 to conclude the proof. It is enough to show that if $a, c \in \mathcal{S}_1(S_g)$, with $i(a, c) = 1$, then there are $b_1, b_2 \in \mathcal{S}_1(S_g)$ so that $i(a, b_1) = i(b_1, b_2) = i(c, b_2) = 1$ and whose pairwise sum, e.g. $[a] + [b_1]$, in $H_1(S_g, \mathbb{Z}/2\mathbb{Z})$ is not $[\beta]$. In particular if $[a] + [c] \neq [\beta]$ then we are done.

Assume then that $[a] + [c] = [\beta]$. Figure 2 shows the curves b_1, b_2 .

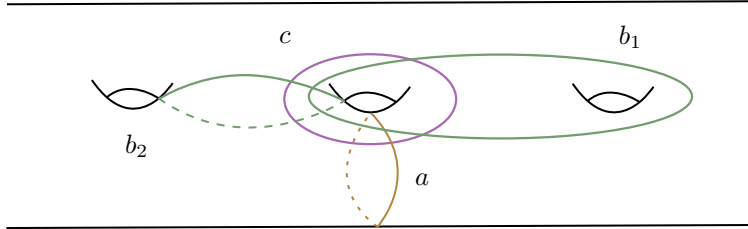


Figure 2. Refining the path in $\mathcal{NC}_1(S_g)$ to lie on $\mathcal{N}_1(S_g)$.

q.e.d.

Our next result characterizes the action of $\text{Mod}(S_g, [\beta])$ on $\mathcal{N}_1(S_g)$, and thus gives us a new generating set for $\text{Mod}(S_g, [\beta])$.

Lemma 3.6 (Generating set-Stabilizer). *Let $g \geq 3$ and $[\beta]$ a nonzero class in $H_1(S_g, \mathbb{Z}/2\mathbb{Z})$. $\text{Mod}(S_g, [\beta])$ acts transitively on $\mathcal{S}_1(S_g)$ and on pairs of edges (a_i, c_i) of $\mathcal{N}_1(S_g)$. In particular, for any $a \in \mathcal{S}_1(S_g)$, $\text{Mod}(S_g, [\beta])$ is generated by the stabilizer of a in $\text{Mod}(S_g, [\beta])$ and any $h \in \text{Mod}(S_g, [\beta])$, so that $(a, h^{-1}(a)) \in \mathcal{N}_1(S_g)$.*

Proof. Let α_i, γ_i be representatives for a_i, c_i in minimal position, and let δ_i be the boundary curve of the closed torus neighborhood T_i of $\alpha_i \cup \gamma_i$. Let

P_i be the complementary subsurface bounded by δ_i . By assumption, there exist multicurve representatives $\{\beta_1^i, \beta_2^i\}$ of $[\beta]$, with $\beta_1^i \subset T_i$ and $\beta_2^i \subset P_i$, furthermore β_1^i intersects both α_i and γ_i only once. Let $f \in \text{Mod}(S_g)$ with $f(\delta_1) = \delta_2$, and inducing homeomorphisms $f_T : T_1 \rightarrow T_2$ and $f_P : P_1 \rightarrow P_2$. As the symplectic representation mod 2 is surjective, there exists $g_P \in \text{Mod}(P_2)$ so that $(g_P f_P)[\beta_2^1] = [\beta_2^2]$. On the other hand, note that f_T maps (α_1, γ_1) to a 2-chain in T_2 . Thus, as $\text{Mod}(T_2)$ acts transitively on 2-chains, there is $g_T \in \text{Mod}(T_2)$ such that $g_T f_T(\alpha_1) = \alpha_2$ and $g_T f_T(\gamma_1) = \gamma_2$. It follows that $g_T f_T$ maps β_1^1 to a curve intersecting α_2 and γ_2 only once each, and so $g_T f_T[\beta_1^1] = [\beta_1^2]$. The first claim follows by composing f with the extensions of g_T and g_P .

Let $a \in \mathcal{S}_1(S_g)$ and $h \in \text{Mod}(S_g, [\beta])$ so that a and $h^{-1}(a)$ are connected by an edge in $\mathcal{N}_1(S_g)$. Then, the hypothesis of Lemma 4.10 of [7] are satisfied and the second claim follows. q.e.d.

3.3. Low-dimensional representations of $\text{Mod}(S_g, [\beta])$. The interplay between the two generating sets of $\text{Mod}(S_g, [\beta])$ found in Sections 3.1 and 3.2 allows us to conclude all but the last item of Theorem 3.1.

Proof of (1)-Theorem 3.1. Represent $[\beta]$ by a simple closed curve b , and let a be a simple closed curve intersecting b transversely at one point. Let R be the complement of an open annular neighborhood of b , then $R \cong S_{g-1}^2$ (A genus $g-1$ surface with *two* boundary components), via the inclusion $R \hookrightarrow S_{g-1}$ there is a map $\text{Mod}(R) \rightarrow \text{Mod}(S_g, [\beta])$ and ϕ induces a representation $\phi_R : \text{Mod}(R) \rightarrow \text{GL}(m, \mathbb{C})$.

We claim that ϕ_R is trivial. For $m < 2g-2$ this follows from the results of Franks-Handel [11, Theorem 1], as the genus of R is at least 3. Similarly for $m = 2g-2$, by Korkmaz [15, Theorem 2], ϕ_R is either trivial or conjugate to the standard symplectic representation $\psi : \text{Mod}(R) \rightarrow \text{Sp}(2g-2, \mathbb{Z})$. Note that in either case, $\phi(T_b) = 1$ as b is separating in R . Let d be the boundary of a regular neighborhood of $a \cup b$. Via the 2-chain relation (see [7, Prop 4.12]),

$$\phi(T_a^2 T_b)^4 = \phi(T_d) = 1,$$

as $d \in R$ is separating. Thus, regardless of ϕ_R , $\phi(T_a^2)$ is of order at most 4 and by conjugation the same applies to any $\phi(T_{a'}^2)$ with $\hat{i}_2([a'], [\beta]) = 1$.

Now suppose that ϕ_R is not trivial, then after conjugating ϕ we can assume $\phi_R = \psi$. Consider two k_i -chains to each side of b with k_i odd, as in Figure 3.

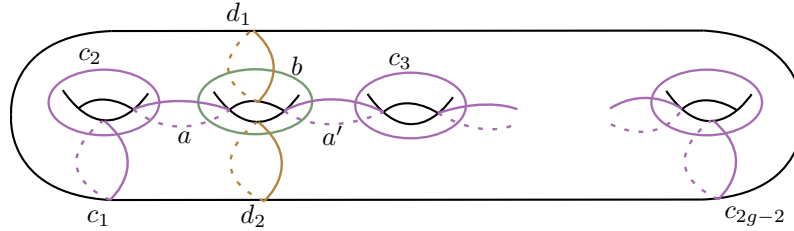


Figure 3. Complementary k -chains around b .

Then, the k -chain relations [7, Prop 4.12] imply that:

$$(T_a^2 T_{c_1} T_{c_2})^3 = (T_{a'}^2 T_{c_3} \dots T_{c_{2g-2}})^{2g-3}$$

Let $\tilde{R} \subset R$, be the complement of a torus neighborhood of $d_1 \cup b$, then

$$\phi(\text{Mod}(\tilde{R})) = \text{Sp}(2g-2, \mathbb{Z}).$$

As $T_{a_1}^2$ commutes with any $f \in \text{Mod}(\tilde{R})$, we find that $\phi(T_{a_1}^2) = \lambda \text{Id}$ for some $\lambda \in \mathbb{C}^*$ and $\lambda^4 = 1$. By conjugation, $\phi(T_a^2) = \phi(T_{a_1}^2)$ for any a with $\hat{i}_2([a], [\beta]) = 1$. Furthermore, by assumption $\lambda^{2g-2} = 1$ for any g (here we need the extra condition, $\text{Im}(\phi) \subset \text{SL}(m, \mathbb{C})$, for g even).

The k -chain relations, under ϕ , induce the relation,

$$(\psi(T_{c_1})\psi(T_{c_2}))^3 = \lambda^{2g-2}(\psi(T_{c_3}) \dots \psi(T_{c_{2g-2}}))^{2g-3}$$

A direct computation shows that $(\psi(T_{c_1})\psi(T_{c_2}))^3$ acts as $-\text{Id}$ on $\{[c_1], [c_2]\}$, while any $\psi(T_{c_i})$ for $i > 2$ acts trivially, hence we reach a contradiction.

Consequently, ϕ_R is trivial and so $\phi(T_c) = 1$ for any c with $\hat{i}_2([c], [\beta]) = 0$. Let c be a nonseparating SCC in R , so in particular $\phi(T_c) = 1$, meeting a transversely at one point. Let $v = [T_c(a)]$, then $\hat{i}_2(v, [\beta]) = 1$ and $v + [a] \neq [\beta]$. By Lemma 3.6, $\text{Mod}(S_g, [\beta])$ is generated by $T_c^{-1} \in \text{Mod}(R)$ and the stabilizer of a . Hence, for any element $f \in \text{Mod}(S_g, [\beta])$, $\phi(f)$ commutes with $\phi(T_a^2) = L_a$. For any other curve a' with $\hat{i}_2([a'], [\beta]) = 1$, $T_{a'}^2$ is conjugate to T_a^2 in $\text{Mod}(S_g, [\beta])$. Thus, $\phi(T_{a'}^2) = L_a$ for all such a' . By Lemma 3.2, $\phi(\text{Mod}(S_g, [\beta])) = \langle L_a \rangle$ and the theorem follows. q.e.d.

3.4. Lifting relations to $\text{Mod}(S_{2g-1}, \sigma)$. Let $\rho : S_{2g-1} \rightarrow S_g$ be the double cover with deck transform σ and with monodromy given by intersection with $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$. By choosing lifts of elements of $\text{Mod}(S_g, [\beta])$ to $\text{Mod}(S_{2g-1}, \sigma)$, we can translate the results of Sections 3.1 and 3.2 to $\text{Mod}(S_{2g-1}, \sigma)$.

Dehn twists have distinguished lifts to $\text{Mod}(S_{2g-1}, \sigma)$: let a be an isotopy class of simple closed curves in S_g . If $\hat{i}_2([a], [\beta]) = 0$, then a has two disjoint (as a is simple) and nonisotopic lifts $\tilde{a}$ and $\sigma(\tilde{a})$ to S_{2g-1} . In this case, a lift of T_a is given by the multitwist $T_{\tilde{a}}T_{\sigma(\tilde{a})} \in \text{Mod}(S_{2g-1}, \sigma)$. Similarly, if $\hat{i}_2([a], [\beta]) = 1$ let $\tilde{a}$ be the union (in any order) of the two simple paths lifting a to S_{2g-1} . Then, a lift of T_a^2 is given by $T_{\tilde{a}}$. The way we join both lifts of a does not affect the lift as both ways give isotopic loops. Furthermore, as σ permutes the lifts of a , then $T_{\tilde{a}} \in \text{Mod}(S_{2g-1}, \sigma)$.

With this notation, by lifting mapping classes from $\text{Mod}(S_g, [\beta])$ to the cover $\text{Mod}(S_{2g-1}, \sigma)$, we obtain the following.

Corollary 3.4 (Conjugation in $\text{Mod}(S_{2g-1}, \sigma)$). *Let a_1, a_2 be a pair of isotopy classes of nonseparating simple closed curves in S_g .*

- 1) *If $\hat{i}_2([a_1], [\beta]) = \hat{i}_2([a_2], [\beta]) = 1$, then $T_{\tilde{a}_1}$ and $T_{\tilde{a}_2}$ are conjugate in $\text{Mod}(S_{2g-1}, \sigma)$.*
- 2) *If $\hat{i}_2([a_1], [\beta]) = \hat{i}_2([a_2], [\beta]) = 0$, and either for each i $[a_i] \neq [\beta]$ or for each i $[a_i] = [\beta]$, then $T_{\tilde{a}_1}T_{\sigma(\tilde{a}_1)}$ and $T_{\tilde{a}_2}T_{\sigma(\tilde{a}_2)}$ are conjugate in $\text{Mod}(S_{2g-1}, \sigma)$.*

Similarly, Lemmas 3.2 and 3.6 imply the following results.

Corollary 3.5 (Generating set $\text{Mod}(S_{2g-1}, \sigma)$ -twists). *Let $g \geq 2$, and σ the deck transform of the double cover $p : S_{2g-1} \rightarrow S_g$ associated to $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$. $\text{Mod}(S_{2g-1}, \sigma)$ is generated by*

$$\{\sigma\} \cup \{T_{\tilde{c}}(T_{\sigma(\tilde{c})})^{\xi(c)} : c \text{ nonseparating SCC in } S_g \text{ and } \xi(c) \in \{0, 1\},$$

$$\text{with } \xi(c) = \hat{i}_2([c], [\beta]) + 1 \pmod{2}.$$

Corollary 3.6 (Generating set $\text{Mod}(S_{2g-1}, \sigma)$ -stabilizer). *Let a be an isotopy class in $\mathcal{S}_1(S_g)$. $\text{Mod}(S_{2g-1}, \sigma)$ is generated by the following elements: σ , f such that $fT_{\tilde{a}}f^{-1} = \sigma^i T_{\tilde{a}}$, and h such that $(\bar{h}^{-1}(a), a) \in \mathcal{N}_1(S_g)$. Where $\bar{h} \in \text{Mod}(S_g, [\beta])$ is the projection of $h \in \text{Mod}(S_{2g-1}, \sigma)$.*

Remark 3.3 (Relations in $\text{Mod}(S_{2g-1}, \sigma)$). Note that for any proper subsurface $S \subset S_g$, there is a lift $\text{Mod}(S) \cap \text{Mod}(S_g, [\beta]) \rightarrow \text{Mod}(S_{2g-1}, \sigma)$ defined as follows: Since $f \in \text{Mod}(S)$ fixes a point p in $S_g \setminus S$, this implies the existence of a well defined choice of lift to $\text{Mod}(S_{2g-1}, \sigma)$ by requiring the map to fix a lift of p .

On the other hand, it is not possible to lift all of $\text{Mod}(S_g, [\beta])$. A way to see this is to note that Prym_* surjects onto $\text{Sp}(2g-2, \mathbb{Z})$ and thus a lift would give an infinite image representation of $\text{Mod}(S_g, [\beta])$ contrary to Theorem 3.1.

In fact, there is an explicit relation that cannot hold in $\text{Mod}(S_{2g-1}, \sigma)$. Let $\tilde{R}$ be the subsurface defined in the proof of Theorem 3.1. Prym_* acts as the symplectic representation on the lift of $\text{Mod}(\tilde{R})$, while $\text{Prym}_*(T_{\tilde{a}}) = 1$ for any a with $\hat{i}_2([a], [\beta]) = 1$. Hence, the k -chain relations used in the proof of Theorem 3.1 cannot hold in $\text{Mod}(S_{2g-1}, \sigma)$ and so

$$(T_{\tilde{a}}T_{\tilde{c}_1}T_{\sigma(\tilde{c}_1)}T_{\tilde{c}_2}T_{\sigma(\tilde{c}_2)})^3 = \sigma(T_{\tilde{a}'}T_{\tilde{c}_3}T_{\sigma(\tilde{c}_3)} \dots T_{\tilde{c}_{2g-2}}T_{\sigma(\tilde{c}_{2g-2})})^{2g-3}$$

3.5. Low dimensional representations of $\text{Mod}(S_{2g-1}, \sigma)$. The relations in $\text{Mod}(S_{2g-1}, \sigma)$ described on Section 3.4, and the proof of Theorem 3.1.1 imply the first item in Theorem 1.3.

Proof of theorem 1.3.1. Let $a \in \mathcal{S}_1(S_g)$, and $T_{\tilde{a}}$ the lift of T_a^2 to $\text{Mod}(S_{2g-1}, \sigma)$. By lifting $\text{Mod}(R)$, where R is the subsurface used in the proof of Theorem 3.1.1, it follows that $\phi(T_{\tilde{c}}T_{\sigma(\tilde{c})}) = 1$. Thus, by the lifted k -chain relation, $\phi(\sigma) \in \langle \phi(T_{\tilde{a}}) \rangle$. One then concludes by the same argument as in Theorem 3.1.1, replacing Lemma 3.6 with Corollary 3.6.

q.e.d.

To tackle the second item of Theorem 1.3 with $m = 2g - 2$, we use the results of Dey et.al. [5, Theorem 1] to get an explicit finite generating set for $\text{Mod}(S_{2g-1}, \sigma)$. We have the following corollary of their theorem 1.

Corollary 3.7 (Finite generating set). *Let c_i, a_i, b_i be the curves in the top of Figure 4. Let $N(a_1 \cup b_1)$ be a Torus neighborhood of $a_1 \cup b_1$. $\text{Mod}(S_{2g-1}, \sigma)$ is generated by σ and chosen lifts of*

$$S \cup \{F_2, \dots, F_{g-1}\} \cup \{T_{a_2}, T_{b_2}, \dots, T_{a_g}, T_{b_g}, T_{c_1}, \dots, T_{c_{g-1}}\}$$

Where F_i are the bounding pairs given at the bottom of Figure 4, and S is a generating set for the subgroup of $\text{Mod}(N(a_1 \cup b_1))$ fixing $[\beta] = [b_1] \pmod{2}$.

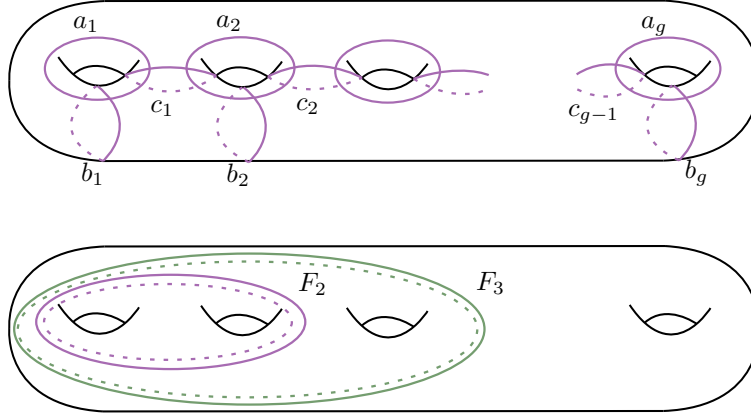


Figure 4. Top: Curve generators for $\text{Mod}(S_g, [\beta])$. Bottom: Torelli generators for $\text{Mod}(S_g, [\beta])$.

Proof of Theorem 1.3.2. Let $\phi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{GL}(2g-2, \mathbb{C})$ be any non-finite representation. Represent $[\beta]$ by $[b_1]$. Let R be the complement of an annular neighborhood of b_1 . Any $f \in \text{Mod}(R)$ fixes b_1 *pointwise*. Thus, we can lift $\text{Mod}(R)$ to $\text{Mod}(S_{2g-1}, \sigma)$ fixing the lift $\tilde{b}_1$ of b_1 pointwise, in particular any Dehn twist $T_c \in \text{Mod}(R)$ lifts to a multitwist $T_{\tilde{c}}T_{\sigma(\tilde{c})}$. It follows that ϕ induces a representation $\phi_R : \text{Mod}(R) \rightarrow \text{GL}(2g-2, \mathbb{C})$. As ϕ is nonfinite, we must have ϕ_R nontrivial thus after a conjugation we can assume that $\phi_R = \psi$, where ψ is the standard *symplectic representation*. Note that $[\tilde{c}] - \sigma_*[\tilde{c}]$ for SCCs $c \in R$, generate $H_1(S_{2g-1}, \mathbb{Z})^-$, and thus Prym_* acts as ψ on this lift of $\text{Mod}(R)$, thus $\phi_R = \text{Prym}_*|_R$.

It remains to check the action of ϕ on the other generators of $\text{Mod}(S_{2g-1}, \sigma)$ coming from Corollary 3.7. Let $T = N(a_1 \cup b_1)$ be a torus neighborhood of $a_1 \cup b_1$, and $\tilde{R} \subset R$ the complementary subsurface. As any mapping class in $\text{Mod}(T)$ fixes $\tilde{R}$ pointwise, there is a lift $\widetilde{\text{Mod}(T)}$ of $\text{Mod}(T)$ to $\text{Mod}(S_{2g-1}, \sigma)$, so that the lift of each element fixes both lifts of $\tilde{R}$ to S_{2g-1} pointwise. In particular, any lift $\tilde{f}$ of $f \in \text{Mod}(T)$ commutes with lifts of $\text{Mod}(\tilde{R})$. As $\phi_R(\tilde{R}) = \text{Sp}(2g-2, \mathbb{Z})$, it follows that $\phi(\tilde{f})$ is a scalar, for any $f \in \text{Mod}(T)$. In particular $T_{\tilde{a}_1} = \lambda \in \mathbb{C}^*$, and so by Corollary 3.4, the same holds for any $T_{\tilde{a}}$ with $\hat{i}_2([a], [\beta]) = 1$. Prym_* acts trivially on this lift of $\text{Mod}(T)$, thus

$$\phi_T \cdot \text{Prym}_*|_{\widetilde{\text{Mod}(T)}}^{-1} \in \mathbb{C}^*.$$

Next, note that by the chain-relations each bounding pair F_i can be expressed as,

$$F_i = (T_{a_1}^2 T_{c_1} T_{a_2} \dots T_{c_{i-1}} T_{a_i})^{2i-1} T_{d_i}^{-2}$$

Where T_{d_i} is one of the curves of the bounding pair. So for each lift $\tilde{F}_i$,

$$\phi(\tilde{F}_i) \cdot \text{Prym}_*(\tilde{F}_i)^{-1} \in \mathbb{C}^*.$$

Lastly, $\phi(\sigma)$ commutes with any element of $\phi(\text{Mod}(S_{2g-1}, \sigma))$. Thus as

$$\text{Im}(\phi) = \text{Sp}(2g-2, \mathbb{Z}),$$

$\phi(\sigma)$ must be a scalar.

It follows that for any $f \in \text{Mod}(S_{2g-1}, \sigma)$, $\phi(f) \text{Prym}_*(f)^{-1} = \lambda(f) \in \mathbb{C}^*$. We claim that $f \mapsto \lambda(f)$ is a homomorphism. Indeed,

$$\phi(fg) \text{Prym}_*(fg)^{-1} = \phi(f)\phi(g) \text{Prym}_*(g)^{-1} \text{Prym}_*(f)^{-1} = \lambda(f) \cdot \lambda(g)$$

In particular $\lambda : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \mathbb{C}^*$ must be cyclic of order at most 4. q.e.d.

Proof of Theorem 3.1.2. Let $g \geq 4$ be even, Theorem 1.3 gives us an example of an infinite representation $\phi : \text{Mod}(S_g, [\beta]) \rightarrow \text{GL}(2g-2, \mathbb{C})$. Let $\chi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \mathbb{C}^*$, with $\chi(\sigma) = -1$. Then, ϕ is induced by,

$$\tilde{\phi} : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{GL}(2g-2, \mathbb{Z}) \quad , \quad f \rightarrow \chi(f) \text{Prym}_*(f).$$

The same argument as in the of the proof of Theorem 1.3.2, replacing Prym_* with ϕ , concludes the proof of Theorem 3.1. q.e.d.

3.6. Symplectic representations. Section 3.5 considered representations $\phi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{GL}(m, \mathbb{C})$. The results extend easily to cases where the image is contained in $\text{Sp}(2h, \mathbb{Z})$. Let $\Delta(2h, \mathbb{Z})$ be the subgroup of $\text{GL}(2h, \mathbb{Z})$ fixing the symplectic form up to sign.

Remark 3.4. Note that any element of $\Delta(2h, \mathbb{Z})$ is of the form $Z^i A$ for $A \in \text{Sp}(2h, \mathbb{Z})$, and $Z = \begin{pmatrix} \text{Id} & 0 \\ 0 & -\text{Id} \end{pmatrix}$.

Corollary 3.8. *Let $g \geq 4$ and $h \leq (g-1)$. Let $\phi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Sp}(2h, \mathbb{Z})$ be a homomorphism, then*

- 1) *If $h < g-1$, then $\text{Im}(\phi)$ is a quotient of $\mathbb{Z}/4\mathbb{Z}$.*
- 2) *If $h = g-1$, then either $\text{Im}(\phi)$ is a quotient of $\mathbb{Z}/4\mathbb{Z}$ or up to a conjugation in $\Delta(2g-2, \mathbb{Z})$ is of the form:*

$$f \rightarrow \chi(f) \text{Prym}_*(f)$$

where $\chi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \{-\text{Id}, +\text{Id}\}$ is any group homomorphism.

Proof. The first item follows directly from Theorem 1.3. For the second, assume that the image is not finite as the finite case follows directly as well. By Theorem 1.3 there is a matrix $A \in \text{GL}(2g-2, \mathbb{C})$ such that $\Psi = A\phi A^{-1}$ is of the desired form. Indeed, Let R be the subsurface in the proof of Theorem 1.3, then note that A is chosen so that $\phi_R := \phi|_{\text{Mod}(R)}$ is the standard symplectic representation. In particular the conjugation by A , $C_A : \text{Sp}(2g-2, \mathbb{Z}) \rightarrow \text{Sp}(2g-2, \mathbb{Z})$, is an automorphism. Reiner [18, Theorem 3] showed that all such automorphisms come from conjugation in $\Delta(2g-2, \mathbb{Z})$. With this remark in place, the proof of Theorem 1.3 goes through without modifications. Importantly, the image of χ lies on the centralizer of $\text{Im}(\phi) = \text{Sp}(2g-2, \mathbb{Z})$, hence the result. q.e.d.

4. Holomorphic results

The aim of this section is to complete the proof of Theorem 1.1. Fix $g \geq 4$ and $h \leq g-1$, and consider a holomorphic map of complex orbifolds

$$F : \mathcal{R}_g \rightarrow \mathcal{A}_h.$$

The proof follows Farb's strategy which consists of 6 steps [8, p 2-3].

4.1. Farb's proof strategy.

- Step 1: As we will see below (Sections 4.2 and 4.3.2), the results of Section 3 quickly reduce the statement to the case of $h = g - 1$ and F homotopic to Prym.
- Step 2: The important observation is that $\mathfrak{h}_{g-1}$ is a bounded symmetric domain, so by a criterion of Borel-Narasiman [4] it is enough to check that F and Prym agree at point $x \in \mathcal{R}_g$. The remaining steps consist on finding such an x .
- Step 3-4: Restrict the homotopy F_t between Prym and F to a curve C . (**Step 3**) Improve the homotopy to a geodesic homotopy, and following an argument of Antonakoudis-Aramayona-Souto [1] and a Wirtinger-type inequality improve the homotopy even further to $F_t|_C$ be holomorphic at each t . (**Step 4**) Finally, using a theorem of Kobayashi-Ochiai [14, Theorem 2'], improve $F_t|_C$ to be algebraic at each t . These steps carry over without any modification as they depend on the target of the map being $\mathcal{A}_g$ (or covers of).
- Step 5: Find a $\mathcal{A}_{g-1}$ -rigid curve $C \subset \mathcal{R}_g$ (see Section 4.3.4), so that Prym is an isolated point in $\text{Mor}(C, \mathcal{A}_{g-1})$.
- Step 6: $F_t|_C$ is constant, so in particular F and Prym agree on C and we are done.

In our case, to avoid orbifold issues, steps 3-6 would be carried out on a suitable finite cover of $\mathcal{R}_g$. An alternative proof, suggested to Farb by Richard Hain and replacing steps 3-6 by a variation of Hodge structures argument [8, Section 3], is also given.

4.2. Case $h < g - 1$. By Theorem 1.3, there is a finite cover $\tilde{\mathcal{R}}_g \rightarrow \mathcal{R}_g$ such that the induced map $\tilde{F} : \tilde{\mathcal{R}}_g \rightarrow \mathcal{A}_h$ satisfies $\tilde{F}_* : \pi_1^{\text{orb}}(\tilde{\mathcal{R}}_g) \rightarrow \text{Sp}(2h, \mathbb{Z})$ is trivial. Thus, $\tilde{F} : \tilde{\mathcal{R}}_g \rightarrow \mathcal{A}_h$ lifts to a holomorphic map $G : \tilde{\mathcal{R}}_g \rightarrow \mathfrak{h}_h$, in particular $\tilde{F}$ is homotopic to a constant map, and the same holds for any such continuous F (cf. Corollary 1.4). As $\tilde{\mathcal{R}}_g$ is a finite (branched) cover of $\mathcal{R}_g$ it is also a quasiprojective variety, and as $\mathfrak{h}_h$ is a bounded domain it follows that G is constant. The same argument gives a proof of Theorem 1.2.

In what follows we will assume that $F_* : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \text{Sp}(2g-2, \mathbb{Z})$ has infinite image, as otherwise the same argument shows that F is constant.

4.3. Case $h = g - 1$.

4.3.1. The Prym map. Let X be a smooth genus g complex curve. Any nonzero $\theta \in H^1(X, \mathbb{Z}/2\mathbb{Z})$ defines an unbranched double cover

$$p : Y \rightarrow X,$$

with deck transform σ , and where Y is a curve of genus $2g - 1$. The order 2 action of σ^* on $\Omega^1(Y)$ induces a splitting

$$\Omega^1(Y) = \Omega^1(Y)^+ \oplus \Omega^1(Y)^-$$

corresponding to the ± 1 eigenspaces of σ^* . Similarly, the action of σ_* on $H_1(Y, \mathbb{Z})$ has two distinct subspaces⁴, $H_1(Y, \mathbb{Z})^+$ and $H_1(Y, \mathbb{Z})^-$. The Prym

⁴But this is *not* a splitting of $H_1(Y, \mathbb{Z})$.

variety associated to (X, θ) is defined as

$$\mathrm{Prym}(X, \theta) := \frac{(\Omega^1(Y)^-)^{\vee}}{H_1(Y, \mathbb{Z})^-}.$$

$\mathrm{Prym}(X, \theta)$ is a subtorus of $\mathrm{Jac}(Y)$, and the restriction of the principal polarization from $\mathrm{Jac}(Y)$ (given by the intersection pairing on $H_1(Y, \mathbb{Z})$) to $\mathrm{Prym}(X, \theta)$ induces twice a principal polarization. In particular, $\mathrm{Prym}(X, \theta)$ is a PPAV of dimension $g-1$. This description is in fact, equivalent to the one given in the introduction. The map

$$\mathrm{Jac}(p) : \mathrm{Jac}(Y) = \frac{\Omega^1(Y)^{\vee}}{H_1(Y, \mathbb{Z})} \rightarrow \mathrm{Jac}(X) = \frac{\Omega^1(X)^{\vee}}{H_1(X, \mathbb{Z})},$$

is induced by the dual of the pullback $p^* : \Omega^1(X) \rightarrow \Omega^1(Y)$. Hence,

$$\ker \mathrm{Jac}(p) = \frac{(\Omega^1(Y)^-)^{\vee}}{H_1(Y, \mathbb{Z})^-} = \mathrm{Prym}(X, \theta).$$

The Prym period matrix. Recall that $\mathrm{Fix}(\sigma) \subseteq \mathrm{Teich}_{2g-1}$ is the space of *marked* curves $[(Y, \phi)]$ with a fixed-point free holomorphic involution σ_{ϕ} isotopic to $\phi\sigma\phi^{-1}$. Consider $[(Y, \phi)] \in \mathrm{Fix}(\sigma) \subset \mathrm{Teich}(S_{2g-1})$. Let $\{a_i, b_i\}$ for $i = 0, \dots, 2g-2$, be a geometric symplectic basis for S_{2g-1} such that

$$\sigma(b_i) = b_{i+g-1} \quad , \quad \sigma(a_i) = a_{i+g-1} \quad i = 1, \dots, g-1$$

Let ω_i be a basis for $\Omega^1(Y)$ dual to $\{\phi(a_i)\}$, and let $u_i := \frac{\omega_i - \omega_{i+g-1}}{2}$ for $i = 1, \dots, g-1$. Then, $\{u_i\}$ is a basis for $\Omega^1(Y)^{-1}$, and in fact $\{u_i\}$ is dual to $\{\phi(a_i) - \phi(a_{i+g-1})\}_{i \geq 1}$. Importantly, the marking $\phi : S_{2g-1} \rightarrow Y$ allows us to choose such $\{u_i, \phi(a_i), \phi(b_i)\}$ globally over $\mathrm{Fix}(\sigma)$ (see also [10, 17] and [20, Section 3.1]). Then,

$$\tau = \left(\int_{\phi(b_j) - \phi(b_{j+g-1})} u_i \right) \in \mathfrak{h}_{g-1}.$$

Let $\widetilde{\mathrm{Prym}} : \mathrm{Fix}(\sigma) \rightarrow \mathfrak{h}_{g-1}$ be $[(Y, \phi)] \rightarrow \tau$. Moreover, if the (normalized) period matrix of Y with respect to $\{\phi(a_i), \phi(b_i)\}$ and $\{\omega_i\}$ is given by:

$$\left(\int_{\phi(b_j)} \omega_i \right)_{0 \leq i, j \leq 2g-2} = \begin{pmatrix} * & * & * \\ * & B & C^T \\ * & C & D \end{pmatrix}$$

Then, $\tau = B - C$ and in particular $\widetilde{\mathrm{Prym}}$ is holomorphic. Similarly, by a direct computation one can check that $\widetilde{\mathrm{Prym}}$ is Prym_* -equivariant⁵ and lifts Prym

$$\begin{array}{ccc} \mathrm{Fix}(\sigma) & \xrightarrow{\widetilde{\mathrm{Prym}}} & \mathfrak{h}_{g-1} \\ \downarrow & & \downarrow \\ \mathcal{R}_g & \xrightarrow{\mathrm{Prym}} & \mathcal{A}_{g-1} \end{array}$$

⁵ With respect to the action of $\mathrm{Mod}(S_{2g-1}, \sigma)$ on $\mathrm{Fix}(\sigma)$, $f \cdot [(Y, \phi)] \rightarrow [(Y, \phi \circ f^{-1})]$, we find equivariance, but with $\mathrm{Sp}(2g-2, \mathbb{Z})$ acting by $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \cdot \tau \rightarrow (\alpha\tau - \beta)(-\gamma\tau + \delta)^{-1}$.

4.3.2. F homotopic to Prym. Let $F : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1}$ be a nonconstant holomorphic map. Then, by Corollary 3.8 there is an $A \in \Delta(2g-2, \mathbb{Z})$ and $\chi : \text{Mod}(S_{2g-1}, \sigma) \rightarrow \{\pm \text{Id}\}$ such that

$$AF_*A^{-1} = \chi \text{Prym}_*.$$

If $A \in \text{Sp}(2g, \mathbb{Z})$, it follows that there is a lift $\tilde{F} : \text{Fix}(\sigma) \rightarrow \mathfrak{h}_{g-1}$ which is equivariantly homotopic to $\widetilde{\text{Prym}}$. Indeed, this is because χ acts trivially on $\mathfrak{h}_{g-1}$ so both F_* and Prym_* factor through the *same* representation $\widetilde{\text{Prym}}_* : \text{Mod}(S_g, [\beta]) \rightarrow \text{PSp}(2g-2, \mathbb{Z})$. In fact, the homotopy can be chosen to be a straight-line homotopy, i.e. a homotopy through geodesics, as $\mathfrak{h}_{g-1}$ has a Kähler metric of nonpositive curvature under which the action of $\text{Sp}(2g-2, \mathbb{Z})$ is by isometries.

The case in which $A = ZB$ for $B \in \text{Sp}(2g-2, \mathbb{Z})$, and $Z = \begin{pmatrix} \text{Id} & 0 \\ 0 & -\text{Id} \end{pmatrix}$ can be ruled out as follows: Consider the map $G : \mathfrak{h}_{g-1} \rightarrow \mathfrak{h}_{g-1}$, given by $\tau \rightarrow -\bar{\tau}$, then G is Z -equivariant and anti-holomorphic. In particular there is a lift $\tilde{F}$ of F such that $F_G := G \circ \tilde{F}$ is equivariantly homotopic to $\widetilde{\text{Prym}}$, hence we have a holomorphic map Prym homotopic to an antiholomorphic map F_G . As $\mathcal{R}_g$ contains a smooth holomorphic closed curve X this is impossible (see also Section 4.3.5). Indeed, let ω be the Kähler form on $\mathcal{A}_{g-1}$, then restricting the maps to X , due to F_G being antiholomorphic and ω_X being the volume form on X , $F_G^*(\omega) = f_1\omega_X$ where $f_1 \leq 0$. On the other hand, $\text{Prym}^*(\omega) = f_2\omega_X$ for $f_2 \geq 0$. By Stokes' theorem we then find $f_1 = f_2 = 0$. Hence Prym is constant over X , and as we can choose X generically we reach a contradiction.

4.3.3. ψ -structures. The arguments in Sections 4.2 and 4.3.2 show that the results of Section 3 imply that if $F : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1}$ is nonconstant, then it is homotopic to Prym . One could carry out the next steps in Farb's proof [8] under this setting, but the orbifold issues become cumbersome at the last step (existence of rigid curves).

To circumvent these issues we first pass to a finite cover of $\mathcal{R}_g$. Let $[\beta] \in H_1(S_g, \mathbb{Z}/2\mathbb{Z})^*$, and $\rho : S_{2g-1} \rightarrow S_g$ be the double cover induced by the map $\pi_1(S_g) \rightarrow \mathbb{Z}/2\mathbb{Z}$, given by $\gamma \rightarrow \hat{i}_2([\gamma], [\beta])$. Next, let $\hat{S}_L \rightarrow S_{2g-1}$ be the cover induced by the surjection $\pi_1(S_{2g-1}) \rightarrow H_1(S_{2g-1}, \mathbb{Z}/L\mathbb{Z})$ for $L \geq 3$ sending $\gamma \mapsto [\gamma]$, its homology class mod L . Then the composite cover is induced by the map

$$\psi : \pi_1(S_g) \rightarrow \frac{\pi_1(S_g)}{\langle \pi_1(S_{2g-1})', \pi_1(S_{2g-1})^L \rangle} =: G.$$

Where $\pi_1(S_{2g-1})^L := \{\gamma^L : \gamma \in \pi_1(S_{2g-1})\}$ and $\pi_1(S_{2g-1})'$ is the commutator subgroup $[\pi_1(S_{2g-1}), \pi_1(S_{2g-1})]$ of $\pi_1(S_{2g-1})$. Let $\Gamma_g[\psi]$ be the stabilizer of ψ (as an exterior homomorphism) on $\text{Mod}(S_g)$ and consider the finite cover

$$\hat{\mathcal{R}}_g[\psi] := \text{Teich}(S_g)/\Gamma_g[\psi]$$

of $\hat{\mathcal{R}}_g$, given by attaching to each curve a level ψ -structure [2, p.511].

Definition 4.1 (Prym Level-L structures). For any integer $L \geq 0$, we define

$$\text{Mod}(S_{2g-1}, \sigma)[L] = \text{Prym}_*^{-1}(\text{Ker}\{\text{Sp}(2g-2, \mathbb{Z}) \rightarrow \text{Sp}(2g-2, \mathbb{Z}/L\mathbb{Z})\})$$

Remark 4.1. Unlike $\text{Mod}(S_g)[L]$ (the level L congruence subgroup [7, Ch 6.4.2]), the group $\text{Mod}(S_{2g-1}, \sigma)[L]$ contains torsion for $L \geq 3$. This is because the kernel of Prym_* contains torsion: a lift of the hyperelliptic involution from S_g to the cover S_{2g-1} acts under Prym_* in the same way as σ .

Importantly for us $\Gamma_g[\psi]$ satisfies the following properties:

Lemma 4.1.

- 1) $\Gamma_g[\psi] \subset \text{Mod}(S_g)[L] \cap \pi(\text{Mod}(S_{2g-1}, \sigma)[L])$.
- 2) Let b be a SCC representative of $[\beta]$, and let a be a SCC intersecting b transversely at one point. Let R be the complement of a torus neighborhood of $a \cup b$. Then $\text{Mod}(R)[L] \subset \Gamma_g[\psi]$.

Proof. Pick a basepoint $x \in S_g - R$ and $\tilde{x}$ the corresponding basepoint for $\pi_1(S_{2g-1})$.

- 1) We will show that in fact, for any $f \in \Gamma_g[\psi]$, there is a lift $\tilde{f} : S_{2g-1} \rightarrow S_{2g-1}$ such that $\tilde{f}$ acts trivially on $H_1(S_{2g-1}, \mathbb{Z}/L\mathbb{Z})$ and $f_* \in \text{Mod}(S_g)[L]$. The latter follows easily as we have a surjection

$$G = \frac{\pi_1(S_g)}{\langle \pi_1(S_{2g-1})', \pi_1(S_{2g-1})^L \rangle} \twoheadrightarrow \frac{\pi_1(S_g)}{\langle \pi_1(S_g)', \pi_1(S_g)^L \rangle} = H_1(S_g, \mathbb{Z}/L\mathbb{Z}).$$

Such that the projection $\pi_1(S_g) \rightarrow H_1(S_g, \mathbb{Z}/L\mathbb{Z})$ factors through ψ . Similarly

$$G \twoheadrightarrow \pi_1(S_g)/(\pi_1(S_{2g-1})),$$

and so $f \in \text{Mod}(S_g, [\beta])$.

Finally, let $\tilde{\gamma} \in \pi_1(S_{2g-1})$ and γ its image in $\pi_1(S_g)$. Let $f \in \Gamma_g[\psi]$ and pick a representative ϕ fixing x . Then, let $\tilde{\phi}$ be the lift fixing $\tilde{x}$. By assumption there is a loop $\beta \in \pi_1(S_g, x)$, independent of γ , such that $\tilde{\phi}(\gamma) \cdot \beta \gamma^{-1} \beta^{-1} \in \langle \pi_1(S_{2g-1})', \pi_1(S_{2g-1})^L \rangle$. The two possible lifts for $\beta \gamma^{-1} \beta^{-1}$ starting at $\tilde{x}$ are given by $\tilde{\beta} \sigma^i \tilde{\gamma}^{-1} \tilde{\beta}^{-1}$, for $i = 0, 1$ depending if the lift $\tilde{\beta}$ of β starting at $\tilde{x}$ is a loop or not. Hence, either $\sigma \tilde{\phi}$ or $\tilde{\phi}$ acts trivially on $H_1(S_{2g-1}, \mathbb{Z}/L\mathbb{Z})$ and the result follows.

- 2) Let $f \in \text{Mod}(R)[L]$, then f has a representative ϕ fixing the complement of R pointwise. In particular $f_*(a) = a$ and $f_*(b) = b$. Furthermore, as R lifts to S_{2g-1} , ϕ has a lift $\tilde{\phi}$ acting trivially on $H_1(S_{2g-1}, \mathbb{Z}/L\mathbb{Z})$ so that $\phi(\gamma) \cdot \gamma^{-1} \in \langle \pi_1(S_{2g-1})', \pi_1(S_{2g-1})^L \rangle$. Thus, f_* will fix ψ over $\pi_1(S_{2g-1})$ and a , and so the result follows.

q.e.d.

Remark 4.2. Note that as $\sigma \notin \text{Mod}(S_{2g-1}, \sigma)[L]$ for $L \geq 3$, $\Gamma_g[\psi]$ has a lift $\Lambda_g[\psi] \subset \text{Mod}(S_{2g-1}, \sigma)$. Denote by $\text{Prym}[\psi]$ the restriction $\text{Prym}[\psi]|_{\Lambda_g[\psi]}$. Then, Lemma 4.1.2 implies that,

$$\text{Prym}[\psi] : \Lambda_g[\psi] \twoheadrightarrow \text{Sp}(2g-2, \mathbb{Z})[L].$$

Denoting by $\mathcal{R}_g[\psi] = \text{Fix}(\sigma)/\Lambda_g[\psi]$, it follows that $\mathcal{R}_g[\psi] \cong \hat{\mathcal{R}}_g[\psi]$, so to avoid excessive notation we will denote $\hat{\mathcal{R}}_g[\psi]$ by $\mathcal{R}_g[\psi]$. Similarly, we will consider $\text{Prym}[\psi]$ as a map from $\Gamma[\psi]$. Furthermore, $\Gamma_g[\psi]$ is torsion free and so $\mathcal{R}_g[\psi]$

is a $K(\pi, 1)$ -manifold, and a non-Galois⁶ cover of $\mathcal{M}_g$ with fundamental group *isomorphic* to $\Gamma_g[\psi]$.

Let $\mathcal{A}_{g-1}[L]$ be the moduli space of PPAV with level L -structure. It follows that any nonconstant holomorphic map $F : \mathcal{R}_g \rightarrow \mathcal{A}_{g-1}$ induces a holomorphic map $F[\psi] : \mathcal{R}_g[\psi] \rightarrow \mathcal{A}_{g-1}[L]$, with $F[\psi]_* : \Gamma_g[\psi] \rightarrow \mathrm{Sp}(2g-2, \mathbb{Z})[L]$ equal to $\mathrm{Prym}[\psi]_*$. Consequently, $F[\psi] \sim \mathrm{Prym}[\psi]$ and Steps 3-4 in Farb's proof [8] (see also Section 4.1) carry over without modification (In fact, one could have also lifted the period map to $\mathcal{M}_g[L]$ in order to prove its rigidity for $g \geq 3$). It follows that for a curve $C \subset \mathcal{R}_g[\psi]$ there exists a homotopy F_t between $F[\psi]$ and $\mathrm{Prym}[\psi]$, which is algebraic at each t .

4.3.4. Finishing the proof via Rigid curves. To conclude the proof of Theorem 1.1 we just need to show that $\mathcal{A}_{g-1}[L]$ -rigid curves exist in our setting. More precisely, we will show that there exists a curve $i : C \hookrightarrow \mathcal{R}_g[\psi]$ so that:

$$\mathrm{Prym}[\psi] \circ i : C \rightarrow \mathcal{A}_{g-1}[L]$$

is rigid. As in Farb's case this is done by finding a family satisfying Saito's criterion [19, Thm 8.6]. The goal is to find a noncompact curve C so that the family over C has irreducible monodromy, and infinite order monodromy around some of the boundary points. The argument follows closely [8, Step 5].

Let $\overline{\mathcal{M}}_g$ denote the Deligne-Mumford compactification of $\mathcal{M}_g$. Let $\overline{\mathcal{R}}_g[\psi]$ be the compactification of $\mathcal{R}_g[\psi]$, given by the normalization of $\overline{\mathcal{M}}_g$ on the function field of $\mathcal{R}_g[\psi]$, in particular $\overline{\mathcal{R}}_g[\psi] \rightarrow \overline{\mathcal{M}}_g$ is a finite branched cover and $\overline{\mathcal{R}}_g[\psi]$ is a projective variety. Thus, we can assume that $\mathcal{R}_g[\psi] \subset \overline{\mathcal{R}}_g[\psi] \subset \mathbb{P}^N$ for some N . As $\dim(\mathcal{R}_g[\psi]) = 3g-3$, by Bertini's theorem, the intersection of $\mathcal{R}_g[\psi]$ with $3g-4$ generic hyperplanes is a smooth curve $C \subset \mathcal{R}_g[\psi]$.

By the Lefschetz hyperplane theorem for quasi-projective varieties, the inclusion $C \hookrightarrow \mathcal{R}_g[\psi]$ induces a surjection $\pi_1(C) \twoheadrightarrow \pi_1(\mathcal{R}_g[\psi]) = \Gamma_g[\psi]$.

Let Z be the unique codimension 1-stratum of $\partial\mathcal{M}_g$ containing curves with nodes coming from pinching a unique nonseparating loop, and let $Z[\psi]$ be its preimage on $\partial\mathcal{R}_g[\psi]$.

Let R be as in item 2 of Lemma 4.1, and let $\mathcal{X} \rightarrow \Delta$ be the universal family around the nodal curve $\mathcal{X}_0$, where only a nonseparating SCC $\gamma \subset R$ is pinched. In particular, the singular curves are parametrized by $z_1 = 0$. Let

$$U = \{(z, \xi) \in \Delta \times \mathbb{C} : z_1^L = \xi\}.$$

Then $\rho : U \rightarrow \Delta$ is an L -cyclic cover, branched along $z_1 = \xi = 0$. Let U^* be the complement of $z_1 = \xi = 0$. The local monodromy for $\rho : \pi_1(\Delta^*) \rightarrow \mathrm{Mod}(S_g)$ is generated by T_γ , and $\langle T_\gamma^L \rangle = \rho^{-1}(\Gamma_g[\psi])$. It follows that the pullback family $\rho^*\mathcal{X} \rightarrow U$ gives a neighborhood (in $\overline{\mathcal{R}}_g[\psi]$) of a point $y \in Z[\psi]$, and the local monodromy around y is generated by T_γ^L . Let Z_y be the top stratum of the irreducible component of $\partial\mathcal{R}_g[\psi]$ containing y . Then $U \cap \{z_1 = \xi = 0\} \subset Z_y$, so Z_y is of codimension 1 with local monodromy conjugate in $\Gamma_g[\psi]$ to T_γ^L for $\gamma \subset R$. It follows that $\overline{C}$ will intersect Z_y , in particular C is not compact.

This is enough to conclude that $\mathrm{Prym}[\psi](C)$ is rigid: Let $\mathcal{X}[L] \rightarrow \mathcal{A}_{g-1}[L]$ be the universal family of PPAVs with level L structure. Then, let $E[L] \rightarrow C$

⁶There exists T_d along a separating curve d so that its lift to S_{2g-1} acts nontrivially on $H_1(S_{2g-1}, \mathbb{Z}/L\mathbb{Z})$ and so T_d not in $\Gamma_g[\Psi]$.

be the pullback of $\mathcal{X}[L]$ under $\text{Prym} \circ i : C \rightarrow \mathcal{A}_{g-1}[L]$. Forgetting the level L structure, gives a family $\rho : E \rightarrow C$ of PPAVs over C . As $\mathcal{A}_{g-1}[L] \rightarrow \mathcal{A}_{g-1}$ is a finite branched cover, it is enough to show that E is rigid.

Since $i_* : \pi_1(C) \rightarrow \pi_1(\mathcal{R}_g[\psi])$ is surjective and $\text{Prym}_*(\Gamma_g[\psi]) = \text{Sp}(2g - 2, \mathbb{Z})[L]$, it follows that the monodromy representation $\rho_* : \pi_1(C) \rightarrow \text{Sp}(2g - 2, \mathbb{Z})$ is irreducible.

Finally, there is a point $y' \in \overline{C} \cap Z_y$ so that the local monodromy of E around y is conjugate to $\text{Prym}_*(T_\gamma^L)$ for some $T_\gamma \in \text{Mod}(R)[L]$ along a nonseparating SCC γ . As T_γ maps to a transvection under Prym_* the local monodromy has infinite order and the claim follows by applying [19, Thm 8.6].

Proof of Theorem 1.1 via Rigid curves. Let C be a rigid curve, then the homotopy $F_t : C \rightarrow \mathcal{A}_{g-1}[L]$ between $F[\psi]$ and $\text{Prym}[\psi]$, which is algebraic at each t is *constant* with respect to t , hence $\text{Prym}[\psi]$ and $F[\psi]$ agree over C . As $\mathcal{R}_g[\psi]$ is a quasiprojective variety and $\mathfrak{h}_{g-1}$ is a bounded domain, by the criterion of Borel-Narasimhan [4, Thm 3.6], it follows that $F[\psi] = \text{Prym}[\psi]$, hence also $\text{Prym} = F$ and Theorem 1.1 is proven. q.e.d.

4.3.5. Finishing the Proof via VHS. Here we recount the approach suggested by R. Hain to Farb [8, Section 3]. Let C_L be a complete curve in $\mathcal{M}_g[L]$ lying in the complement of the orbifold locus ($g \geq 3$), such that the inclusion induces a surjection $\pi_1(C_L) \rightarrow \text{Mod}(S_g)[L]$. Such a C_L can be found by slicing $\mathcal{M}_g[L]$ by hyperplanes on the satake compactification $\overline{\mathcal{M}}_g^S[L]$. Note that the forgetful map $\phi : \mathcal{R}_g[\psi] \rightarrow \mathcal{M}_g[L]$ is a branched cover, branched over the orbifold locus. Let C_ψ be a connected component of $\phi^{-1}(C_L)$, then C_ψ is a complete curve, and the inclusion $i : C_\psi \rightarrow \mathcal{R}_g[\psi]$ induces a surjection $i_* : \pi_1(C_\psi) \rightarrow \Gamma_g[\psi]$. By the same arguments as in Step 4, $F[\psi] \circ i : C_\psi \rightarrow \mathcal{A}_{g-1}[L]$ is algebraic. Let $\mathcal{V} \rightarrow \mathcal{A}_{g-1}[L]$ be the standard polarized variation of Hodge structures (PVHS) over $\mathcal{A}_{g-1}[L]$, so that over $A \in \mathcal{A}_{g-1}[L]$, $\mathcal{V}_A = H^1(A, \mathbb{Z})$. Let $\mathcal{V}_F$ and $\mathcal{V}_{\text{Prym}}$ be the pullbacks of $\mathcal{V}$ over C_ψ , under the maps $F[\psi] \circ i$ and $\text{Prym}[\psi] \circ i$. Both monodromies are the same and are irreducible, then it follows that (Theorem of the Fixed part and a Theorem of Schmid [21, Theorem 7.24]),

$$\mathcal{V}_F \cong \mathcal{V}_{\text{Prym}}$$

as PVHS. Thus, there exist an $A \in \text{Sp}(2g - 2, \mathbb{Z})$ inducing an isomorphism $A_L : \mathcal{A}_{g-1}[L] \rightarrow \mathcal{A}_{g-1}[L]$ such that $A_L \circ F[\psi]$ and $\text{Prym}[\psi]$ agree over C_ψ . Composing with A_L conjugates ⁷ the map

$$(F[\psi] \circ i)_* : \pi_1(C_\psi) \rightarrow \text{Sp}(2g - 2, \mathbb{Z})[L]$$

by A . But $F[\psi]_* = \text{Prym}[\psi]_*$, so A commutes with $\text{Sp}(2g - 2, \mathbb{Z})[L]$ and thus $A = \{\pm \text{Id}\}$. Consequently $F[\psi] = \text{Prym}[\psi]$ over C_ψ and we are done by citing Borel-Narasimhan [4].

⁷We can pick A so that it makes the lifts of $A_L \circ F[\psi]$ and $\text{Prym}[\psi]$ to the universal covers agree.

5. Appendix

Let S_g be a closed surface of genus $g \geq 1$, and $[\beta] \in H_1(S, \mathbb{Z}/2\mathbb{Z})^*$. Then, there is a (unique up to isomorphism) double cover

$$p : S_{2g-1} \rightarrow S_g,$$

with deck transform σ , and monodromy given by intersection with $[\beta]$. In this section we provide a short proof of the following, which is weaker than the result of Sato [20, Theorem 0.2], but suffices for our applications to holomorphic rigidity.

Theorem 5.1. *Let $g \geq 4$, then the abelianization of $\text{Mod}(S_g, [\beta])$, which we denote $\text{Mod}(S_g, [\beta])^{\text{Ab}}$, is nontrivial cyclic of order at most 4 and is $\mathbb{Z}/2\mathbb{Z}$ for g even.*

Proof. Let b be a nonseparating SCC representing $[\beta]$, and a be a SCC intersecting b once transversely. Let R be the complement of an open annulus neighborhood of b . Then $R \cong S_{g-1}^2$ and there is an inclusion $j : \text{Mod}(R) \rightarrow \text{Mod}(S_g, [\beta])$, with kernel generated by $T_b T_{b'}^{-1}$ corresponding to twists along the boundary components of R . As $\text{Mod}(R)^{\text{Ab}} = 0$, it follows that $[T_c] = 0 \in \text{Mod}(S_g, [\beta])^{\text{Ab}}$ for any SCC c disjoint from b . In particular, by Lemma 3.2, $\text{Mod}(S_g, [\beta])^{\text{Ab}} = \langle [T_a^2] \rangle$.

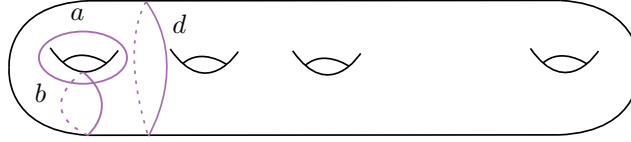


Figure 5. 2-chain relation.

By the 2-chain relation $(T_a^2 T_b)^4 = T_d$. As d is disjoint from b , $[T_a^2]^4 = 0$. Similarly, using the k -relation depicted in Figure 3

$$(T_a^2 T_{c_1} T_{c_2})^3 = (T_{a'}^2 T_{c_3} \dots T_{c_{2g-2}})^{2g-3}.$$

Thus, for g even $[T_a^2]^2 = 0$.

The nontriviality of $\text{Mod}(S_g, [\beta])^{\text{Ab}}$ follows from.

Lemma 5.1. *Let $p \in \mathbb{N}$ and $\Lambda_g[p] = \{A \in \text{Sp}(2g; \mathbb{Z}); Ae_1 = e_1 + pa_1, a_1 \in \text{Sp}(2g, \mathbb{Z})\}$ and denote by $\wedge$ the symplectic pairing. The map $\varphi : \Lambda_g[p] \rightarrow \mathbb{Z}_p$ defined by*

$$A \mapsto \frac{1}{p}(Ae_1 \wedge e_1) \pmod{p}$$

is a surjective homomorphism. In particular $H_1(\Lambda_g[p]; \mathbb{Z})$ is of order at least p .

Proof. Let $A, B \in \Lambda_g[p]$, then:

$$(ABe_1) = A(pb_1 + e_1) = pAb_1 + pa_1 + e_1$$

Now as A preserves the symplectic pairing $\wedge$, we have:

$$Ab_1 \wedge Ae_1 = Ab_1 \wedge (pa_1 + e_1) = b_1 \wedge e_1$$

And so we get $Ab_1 \wedge e_1 = b_1 \wedge e_1 - pAb_1 \wedge a_1$. Hence:

$$(AB)e_1 \wedge e_1 = p(a_1 \wedge e_1 + b_1 \wedge e_1 - pAb_1 \wedge a_1)$$

and so φ is a group homomorphism. To see that it is surjective just take the p -th powers of the transvection given by $\begin{pmatrix} 1 & 0 \\ \pm 1 & 1 \end{pmatrix}$.

q.e.d.

q.e.d.

The results of Section 3.4 imply the following.

Corollary 5.2. *Let $g \geq 4$, then $\text{Mod}(S_{2g-1}, \sigma)^{\text{Ab}}$ is cyclic of order at most 4. Furthermore, it is generated by the class of $T_{\bar{a}}$, where a is a nonseparating SCC with $\hat{i}_2([a], [\beta]) = 1$. For g even, $[\sigma] = [T_{\bar{a}}]^2$ and $[\sigma] = 0$ for g odd.*

Remark 5.1. Sato [20, Theorem 0.2] has shown that $\text{Mod}(S_g, [\beta])^{\text{Ab}} = \mathbb{Z}/4\mathbb{Z}$ for g odd, and $\text{Mod}(S_{2g-1}, \sigma)^{\text{Ab}} = \mathbb{Z}/4\mathbb{Z}$.

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